



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GREENE et al.

Application No.: 08/469,637 -Conf.#6536

Group Art Unit: 1646

Filed: June 6, 1995

Examiner: Pak, Michael D.

Title: Human Tumor Necrosis Factor Receptor

Attorney Docket No.: PF172P1

TRANSMITTAL LETTER

Mail Stop Issue Fee

Commissioner for Patents Washington, D.C. 20231

Sir:

It has come to Applicants' attention that the Third Supplemental Information Disclosure Statement (IDS) and accompanying Form PTO-1449, hand-delivered on October 31, 2000, has not been acknowledged by the Examiner. The USPTO PAIR system indicates that this IDS was received on October 31, 2000. Accordingly, as the IDS was properly submitted on October 31, 2000, Applicants respectfully request that the references be considered by the Examiner, and an Examiner-initialed copy of the October 31, 2000 Form PTO-1449 be provided to Applicants for their records. For the Examiner's convenience, Applicants enclose herewith:

- (1) copies of the following papers filed on October 31, 2000:
 - a. Transmittal letter;
 - b. PTO Fee Transmittal (Form PTO/SB/17);
 - c. Third Supplemental Information Disclosure Statement and Statement Under 37 C.F.R. §1.97(e)(1);
 - d. Form PTO-1449 (2 sheets);
 - e. Three (3) References (AM1, AT19, and AR20); and
 - f. Petition Under 37 C.F.R. §1.97(d); and

(2) a printout from the private online USPTO PAIR system showing receipt of the IDS on October 31, 2000 (Item 53).

Applicants believe that there are no fees due in connection with the filing of this submission. However, should a fee be due, please charge such fee to our Deposit Account No. 08-3425.

Respectfully submitted,

Dated: February 26, 2001

Jøseph J. Schuller

(Reg. No. 48,708)

Agent for Applicants

Human Genome Sciences, Inc.

9410 Key West Avenue Rockville, MD 20850

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KKH/MJH/JS/mr



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GREENE et al.

Appl. No. 08/469,637

Filed: June 6, 1995

Human Tumor Necrosis Factor

Receptor

Art Unit:

1646

M. Pak

Atty. Docket: 1488.0710001/EKS/KRM

Third Supplemental Information Disclosure Statement and Statement Under 37 C.F.R. § 1.97(e)(1)

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is submitted herewith. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on September 3, 1998 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

This Third Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. A separate Petition to the Group Director, requesting consideration of this Information Disclosure Statement, is concurrently submitted herewith.

I hereby state that each item of information contained in this Third Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Third Supplemental Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Karen R. Marlow icz

Karen R. Markowicz Agent for Applicants

Registration No. 36,351

Date: 10 31 00

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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ANDREA J. KAMAGE**
NANCY J. LEITH**
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**REGISTERED PATENT AGENTS

October 31, 2000

WRITER'S DIRECT NUMBER:
(202) 371-2637
INTERNET ADDRESS:
KARENM@SKGF.COM

Art Unit 1646

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 08/469,637; Filed: June 6, 1995

For: Human Tumor Necrosis Factor Receptor

Inventors:

Greene et al.

Our Ref:

1488.0710001/EKS/KRM

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. PTO Fee Transmittal (Form PTO/SB/17) (in duplicate);
- 2. Third Supplemental Information Disclosure Statement and Statement Under 37 C.F.R.§1.97(e)(1) (in duplicate);
- 3. Form PTO-1449 (2 sheets);
- 4. Three (3) References (AM1, AT19 and AR20);
- 5. Petition Under 37 C.F.R.§1.97(d);
- 6. Check No. 29298 for \$130.00 for Petition Under 37 C.F.R. §1.97(d); and
- 7. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents October 31, 2000 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Karen R. Markowicz
Agent for Applicants

Registration No. 36,351

KRM:ayh Enclosures

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Under the Paperwork Reduction Act of 1995, no persons are required to re

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EE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

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Сотр	lete if Known
Application Number	08/469,637
Filing Date	June 6, 1995
First Named Inventor	Greene et al.
Examiner Name	M. Pak
Group Art Unit	1646
Attorney Docket No.	1488.0710001

METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number Sterne, Kessler, Goldstein & Fox P.L.L.C. METHOD OF PAYMENT (check one) St. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) Code (\$) Fee Description The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: The Commissioner is hereby authorized fees and credit any overpayment to: The Commissioner is hereby aut	Fee paid
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127 50 227 25 Surcharge - late provisional filing fee or cover sheet	1 1
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 139 130 139 130 Non-English specification	
147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination Applicant claims small entity status	
See 37 CFR 1.27 112 920* 112 920* Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 2. Appropriate Enclosed:	
☐ Check ☐ Credit card ☐ Money Order ☐ Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	
FEE CALCULATION 116 390 216 195 Extension for reply within second month	
1. BASIC FILING FEE 117 890 217 445 Extension for reply within third month	
Large Entity Small Entity 118 1,390 218 695 Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid 1,890 228 945 Extension for reply within fifth month Code (\$)	
101 710 201 355 Utility filing fee 119 310 219 155 Notice of Appeal	
106 320 206 160 Design filing fee 120 310 220 155 Filing a brief in support of an appeal	
107 490 207 245 Plant filing fee 121 270 221 135 Request for oral hearing	
108 710 208 355 Reissue filing fee 138 1,510 138 1,510 Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee 140 110 240 55 Petition to revive - unavoidable	
141 1,240 241 620 Petition to revive - unintentional	
SUBTOTAL (1) (\$) 142 1,240 242 620 Utility issue fee (or reissue)	
143 440 243 220 Design issue fee	
144 600 244 300 Plant issue fee	
122 130 122 130 Petitions to the Commissioner	130.00
2. EXTRA CLAIM FEES Fee from 123 50 123 50 Petitions related to provisional applications Extra below Fee Paid 126 240 Submission of Information Disclosure Stmt	
Total Claims 20** = X = 581 40 481 40 Recording each patent assignment per	
Indep. Claims 3** = X = property (times number of properties) Multiple Dependent = 146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
Large Entity Small Entity Fee	1
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	
102 80 202 40 Independent claims in excess of 3 169 900 169 900 Request for expedited examination of a desi	gn
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108 80 209 40 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent Other fee (specify):	
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** or number previously paid, if greater, For Reissues, see above SUBTOTAL (3) (\$) 130.00	
SUBMITTED BY Complete (If application of the complete is applied to the com	able)
Name (Print/Type) Karen R. Markowicz Registration No. (Attorney/Agent) 36,351 Telephone 2	02-371-2600
Signature Karl Mallow 15 WARNING: Information on this form may become public. Credit card information should not	10/31/00

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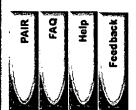
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United States Patent and Trademark Office

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PATENT APPLICATION INFORMATION RETRIEVAL





Other Links



	Search results for application number:08/469,637	plication numb	er:08/469,637
Application 08/469,637 Number:	08/469,637	Customer 22195 Number:	22195
Filing or 371(c) 06-06-1995 Date:	06-06-1995	Status:	Status: Allowed Notice of Allowance Mailed Issue Revision Completed
Application Type: Utili	Utility	Status Date: 12-15-2003	12-15-2003
Examiner Name:	Examiner Name: PAK, MICHAEL D	Location:	Location: BOARD OF INTERFERENCES - CG2-10C15
Group Art Unit: 1646	1646	Location Date: 01-08-2004	01-08-2004
Confirmation Number:	9236	Earliest Publication No:	•
Attorney Docket Number:	1488.0710001	Earliest Publication Date:	
Class/ Sub-Class: 435/	435/069.1	Patent Number:	
First Named JOH Inventor: GAI	First Named JOHN M. GREENE, Inventor: GAITHERSBURG, MD (US)	Issue Date of Patent:	-
Title Of Invention: HUN	HUMAN TUMOR NECROSIS FACTOR RECEPTOR	TOR RECEPTOR	

Select Search Option

Continuity Data Patent Term Extension History Publication Review

Search

File His	Number Date Contents Description	78 12-15-2003 Mail Notice of Allowance	77 12-15-2003 Mail Formal Drawings Required	76 12-15-2003 Formal Drawings Required	75 12-15-2003 Notice of Allowance Data Verification Completed	74 12-15-2003 Notice of Allowability	73 10-01-2003 Mail Letter of Suspension	72 09-30-2003 Letter of Suspension - Examiner Initiated	71 06-09-2003 Date Forwarded to Examiner	Number 78 77 76 75 74 73 73 71	Date 12-15-2003 12-15-2003 12-15-2003 12-15-2003 10-01-2003 09-30-2003 06-09-2003	File History Contents Description Mail Notice of Allowance Mail Formal Drawings Required Formal Drawings Required Notice of Allowance Data Verification Completed Notice of Allowability Mail Letter of Suspension Letter of Suspension - Examiner Initiated
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2	06-09-2003	to Close the A/R Record and Reset the Status for Expired Suspensions.
â	02-12-2003	Information Disclosure Statement (IDS) Filed
88	12-05-2002	Mail Miscellaneous Communication to Applicant
67	12-05-2002	Miscellaneous Communication to Applicant - No Action Count
99	11-18-2002	Mail Letter of Suspension
65	11-17-2002	Letter of Suspension - Examiner Initiated
64	10-30-2002	Date Forwarded to Examiner
63	10-30-2002	to Close the A/R Record and Reset the Status for Expired Suspensions.
62	09-26-2002	Correspondence Address Change
61	09-27-2002	Change in Power of Attorney (May Include Associate POA)
58	12-18-2001	Mail Letter Suspending Prosecution at Applicant's Request
57	12-18-2001	Letter of Suspension - Applicant Initiated
56	10-11-2001	Date Forwarded to Examiner
55	10-09-2001	to Close the A/R Record and Reset the Status for Expired Suspensions.
54	01-11-2001	Date Forwarded to Examiner
53	10-31-2000	Information Disclosure Statement (IDS) Filed
52	02-09-5000	Miscellaneous Incoming Letter
51	06-06-1995	Initial Exam Team nn
50	11-09-1999	Miscellaneous Incoming Letter
49	05-24-1999	Mail Letter of Suspension
48	05-24-1999	Letter of Suspension - Examiner Initiated
47	05-21-1999	Mail Miscellaneous Communication to Applicant
46	05-21-1999	Miscellaneous Action with SSP
45	02-17-1999	Examiner Interview Summary Record (PTOL - 413)
44	02-10-1999	Date Forwarded to Examiner
43	02-04-1999	Amendment after Final Rejection
42	01-21-1999	Examiner Interview Summary Record (PTOL - 413)
41	11-24-1998	Mail Final Rejection (PTOL - 326)
40	11-23-1998	Final Rejection
39	09-03-1998	Information Disclosure Statement (IDS) Filed
38	09-17-1998	Date Forwarded to Examiner
37	09-03-1998	Response after Non-Final Action
36	06-29-1998	Miscellaneous Incoming Letter
35	06-03-1998	Mail Non-Final Rejection
34	06-03-1998	Non-Final Rejection
33	03-25-1998	Examiner Interview Summary Record (PTOL - 413)
32	03-13-1998	Notice of Appeal Filed
31	03-24-1998	Date Forwarded to Examiner
30	03-13-1998	Amendment after Final Rejection
29	03-13-1998	Request for Extension of Time - Granted
28	09-16-1997	Mail Final Rejection (PTOL - 326)
27	09-15-1997	Final Rejection
56	07-18-1997	CRF is Good Technically / Entered into Database
25	07-03-1997	CRF Disk Has Been Received by Preexam / Group / PCT

05-28-1997 04-18-1997 01-23-1997 02-04-1997 02-03-1997 01-16-1997 12-30-1996 12-30-1996 01-16-1997 12-30-1996 09-27-1996 09-27-1996 03-13-1996 02-02-1996 02-02-1996 03-03-1995 01-03-1995 08-03-1995	24	07-03-1997	Date Forwarded to Examiner
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02-04-1997 02-03-1997 01-16-1997 12-30-1996 01-16-1997 12-20-1996 09-27-1996 02-02-1996 02-02-1996 02-02-1996 08-25-1995 11-03-1995 08-25-1995 08-25-1995	20	01-23-1997	Information Disclosure Statement (IDS) Filed
02-03-1997 01-16-1997 12-30-1996 12-30-1996 10-07-1996 09-30-1996 03-13-1996 02-06-1996 02-06-1996 02-02-1995 11-03-1995 08-09-1995 08-09-1995	19	02-04-1997	Mail Non-Final Rejection
01-16-1997 12-30-1996 12-30-1996 01-16-1997 12-20-1996 09-30-1996 03-13-1996 02-02-1996 02-02-1996 08-25-1995 11-03-1995 08-25-1995 08-25-1995	18	02-03-1997	Non-Final Rejection
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12-30-1996 01-16-1997 12-20-1996 10-07-1996 09-27-1996 02-02-1996 02-02-1996 08-25-1995 11-03-1995 08-09-1995	16	12-30-1996	Response to Election / Restriction Filed
01-16-1997 12-20-1996 10-07-1996 09-27-1996 03-13-1996 02-06-1996 02-02-1996 08-25-1995 11-03-1995 07-21-1995	15	12-30-1996	Request for Extension of Time - Granted
12-20-1996 10-07-1996 09-30-1996 09-27-1996 02-06-1996 02-02-1996 08-25-1995 11-03-1995 08-09-1995 07-21-1995	14	01-16-1997	Communication - Re: Power of Attorney (PTOL-308)
10-07-1996 09-30-1996 03-13-1996 02-02-1996 08-25-1995 11-03-1995 08-09-1995 07-21-1995	13	12-20-1996	Change in Power of Attorney (May Include Associate POA)
09-30-1996 09-27-1996 03-13-1996 02-02-1996 08-25-1995 11-03-1995 08-09-1995 07-21-1995	12	10-07-1996	Miscellaneous Incoming Letter
09-27-1996 03-13-1996 02-06-1996 02-02-1996 11-03-1995 08-09-1995 07-21-1995	11	09-30-1996	Mail Restriction Requirement
03-13-1996 02-06-1996 02-02-1995 08-25-1995 11-03-1995 08-09-1995 11-04-1997	10	09-27-1996	Requirement for Restriction / Election
02-06-1996 02-02-1996 08-25-1995 11-03-1995 08-09-1995 07-21-1995 11-04-1997	9	03-13-1996	Case Docketed to Examiner in GAU
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